

NOTE: Please photocopy both sides  
and retain for your files.

**NOTICE OF INTENTION TO SOLICIT**

To Appeal or Solicit for  
Charitable Purposes in the  
UNINCORPORATED Portions of the  
COUNTY OF LOS ANGELES  
**BUSINESS LICENSE COMMISSION**  
374 Kenneth Hahn Hall of Administration  
500 West Temple Street  
Los Angeles CA 90012  
**Telephone: 213/974-7691**

RECEIVED  
COUNTY OF LOS ANGELES  
BUREAU OF BUSINESS REGISTRATION  
MAR 2 2016  
2:11 PM  
LOS ANGELES, CA

**ALL QUESTIONS MUST BE ANSWERED, PLEASE TYPE OR PRINT.**

(Los Angeles County Code, Volume 3, Title 7, Chapter 7-24 requires that this Notice of Intention to be filed at least 30 days prior to beginning your solicitation or advertisement for your fund-raising activity. No advertisement or solicitation may begin until this office has issued an Information Card. "No" or "None" may be written where appropriate on this form. Additional Information may be added on separate sheets; however *do not* add separate sheets in lieu of answering the questions on this form.)

1. The Fund for Animals, Inc. When organized: 2/16/1967  
(Full Name of Organization) Incorporated: 3/16/1967 X  
Yes No  
212-246-2096  
(Telephone - Daytime)
2. 200 West 57th Street, Suite 705, New York, NY 10019  
(Address: Street, City and Zip Code) 212-246-2096, info@fundforanimals.org  
Donald Capparella, 200 West 57th Street, Suite 705, New York, NY  
10019 (Telephone - Daytime and E-mail Address)
3. (Name of Person in Charge of Appeal -- Address and Zip Code)
4. TO CONDUCT OR SOLICIT: Fundraising by telephone  
(If only to solicit funds, it would be a General Appeal, if a specific event, state type of event)
5. WHERE and WHEN this fund-raising activity will be held: Hollywood, CA--no local presence  
(If specific event, exact dates)  
1/1/2016 to 12/31/2016
6. Solicitation/Advertisement starts 1/1/2016; ends 12/31/2016  
(Specific date, or when issued) (Last day of specified event)
7. SPECIFIC Purpose of this Solicitation: Contributions are used for animal protection, including operation of a ranch in Texas for abused animals and other animal protection and welfare facilities, and emergency rescue of animals. Funds are also used for humane education and for lobbying for humane animal litigation and against laws which subject animals to mistreatment.
8. ANTICIPATED Gross Goal (Before deducting expenses): \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_  
(LOCAL) (STATE) (NATIONAL)
9. If this solicitation or activity is conducted on behalf of another organization, give its name and address and enclose a copy of a letter of authorization from organization(s). \_\_\_\_\_
10. Solicitation/Advertisement to be made by means of (indicate by checking below):  
( X ) Volunteer Solicitors ( ) Box Office Sales ( ) Posters ( ) Bulletins  
( X ) Paid Solicitors ( X ) Telephone ( ) Newspapers  
( X ) Personal Approach ( ) Radio/Television ( X ) Mail  
Other \_\_\_\_\_ methods (specify): \_\_\_\_\_

11. Admission: \$ \_\_\_\_\_ Tickets \_\_\_\_\_ Invitations \_\_\_\_\_ No. Printed \_\_\_\_\_  
Numbered \_\_\_\_\_

SPECIFY PER PERSON  
PER COUPLE

Selling prices: (Ads, cookies, etc.) \_\_\_\_\_ Cost of Carnival Tickets: \_\_\_\_\_  
Games: \_\_\_\_\_ Rides: \_\_\_\_\_

12. Itemized list of *ANTICIPATED* expenses to be incurred in conducting this solicitation only:

Salaries _____	Printing Advertisement _____
Solicitors _____	Stationery/Postage _____
Managers _____	Prizes _____
Promoters _____	Cost of Merchandise _____
Other _____	Refreshments/Meals _____
Rents _____	Miscellaneous: _____
Music _____	(Specify) _____
Telephone _____	ANTICIPATED TOTAL \$ <u>1,000,000</u>

13. a. 50 Percent (anticipated) of gross contributions for expenses (divide gross goal --Item No. 8-- into expenses ---Item No. 12.---)
- b. 50 Percent (anticipated) of gross contributions to be used as specified in application (subtract percent for expenses --- 13. a. --- from 100%)
- c. Unknown Percent of the proceeds to be used outside of Los Angeles County and specify where it will be use (If applicable)

**NOTE: PLEASE BREAK ALL PERCENTAGES DOWN TO THE NEAREST TENTH.**

14. I the signer of this Notice of Intention, attach hereto copies of the following as required:
- Articles of Incorporation and/or Bylaws of this organization (BOTH if group is incorporated)
  - Names, Titles and Terms of Offices for two Officers of this organization
  - Current Financial Statement (treasurer's report, audit, etc.)
  - A statement of any and all agreements or understandings made or had with any agent, solicitor, promoter or manager of this solicitation, or a copy of such agreement or understanding, if it is in writing.
  - Tax exemption certificate. State & Federal

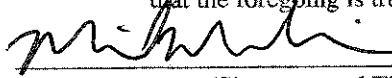
(Items a, b, c and e above must be submitted. If items c or d do not apply to your group, indicate "none")

I have read and understand the provisions of Los Angeles County Code, volume 3, Title 7, Chapter 7-24 and before authorizing any person to solicit, I will require the solicitor to read Sections 7-24-010 to 7-24-400 of said Ordinance.

Within 30 days after the completion of the solicitation, I will submit the Report of Results of Activity form to the Business License Commission, indicating all receipts and expenditures of this appeal/activity.

PLEASE PRINT NAME AND THEN SIGN. AN OFFICER OF THE ORGANIZATION MUST SIGN.

"I declare under penalty of perjury under the laws of the County of Los Angeles and the State of California that the foregoing is true and correct."

 President  
(Signature and Title)

200 West 57th Street, Suite 705, New York, NY 10019  
(Complete Address)

212-246-2096 2/23/16  
Daytime Telephone Number Today's Date

NON-COMPLIANCE WITH, OR VIOLATION OF, LOS ANGELES COUNTY CODE, VOLUME 3, TITLE 7, CHAPTER 7-24, IS A MISDEMEANOR PUNISHABLE BY A FINE OR IMPRISONMENT -- OR BOTH.

**IMPORTANT REMINDER:** A current list of officers and a current financial statement or audit must be sent at least once annually to keep your file updated. Other documents are not necessary unless they have new or additional information, or amendments.

Please give the name and telephone number of a person that we may contact for questions regarding the "NOTICE OF INTENTION" application.

Name: Debbie Sampson Telephone No. 301-258-3014

PLEASE ENCLOSE ORIGINAL INFORMATION CARD \_\_\_\_\_, WHEN FILING THIS REPORT

DUE DATE: \_\_\_\_\_

(No later than THIRTY (30) DAYS after last solicitation date)

## REPORT OF RESULTS OF ACTIVITY CONDUCTED FOR A CHARITABLE PURPOSE

Fill in and Return to:

THE COUNTY OF LOS ANGELES  
**BUSINESS LICENSE COMMISSION**  
374 KENNETH HAHN HALL OF ADMINISTRATION  
**500 W. TEMPLE STREET,**  
**LOS ANGELES, CA 90012**  
**(213) 974-7691 Phone (213) 633-5102 Fax**

### READ CAREFULLY

Section 7.24.300 of the BUSINESS LICENSE ORDINANCE requires that you:

1. File this form with the BUSINESS LICENSE COMMISSION within 30 days after the close of the solicitation
2. Show all contributions resulting from the solicitation. Detail all expenses of the solicitation and show exactly how the contributions are, or will be, disbursed.
3. This form is to be signed by an officer of the organization
4. Indicate below, the organization, event, and dates as shown on your Information Card

The Fund for Animals, Inc.

(Name of Organization)

200 West 57th Street, Suite 705, New York, NY 10019

(Address)

212-246-2096

(Telephone)

Direct Mail, Personal Contact, E-mail, Grant Proposals, Telemarketing, Special  
Events, Internet

(State the Kind of Event or Solicitation Held)

(Information Card # - Enclose)

Event Held from: 1/1/2015 to 12/31/2015  
(Beginning Date) (End Date)

### GROSS RECEIPTS: (BEFORE deducting expenses)

Ticket Sales and/or Admission Charges ..... \$ 0

Amount received from general appeal for funds (door-to-door, mail, telephone, newspaper, etc) .... \$ 0

Returns from sale of merchandise ..... \$ \_\_\_\_\_  
(cookies, candy, refreshments, etc., and/or operation of concession booths)

If contributions other than funds were received, give their cash value ..... \$ \_\_\_\_\_

Sale of Advertising Space ..... \$ \_\_\_\_\_

Other Receipts ..... \$ \_\_\_\_\_

(Itemized) \_\_\_\_\_ \$ \_\_\_\_\_  
\_\_\_\_\_ \$ \_\_\_\_\_  
\_\_\_\_\_ \$ \_\_\_\_\_  
\_\_\_\_\_ \$ \_\_\_\_\_  
\_\_\_\_\_ \$ \_\_\_\_\_

Total ..... \$ 0

Federal, State, and City Taxes ..... \$ 0

TOTAL RECEIPTS (LESS Federal, State, and City Taxes) ..... \$ 0

(OVER)

# **REPORT OF RESULTS OF ACTIVITY CONDUCTED FOR A CHARITABLE PURPOSE**

## **INFORMATION CARD** \_\_\_\_\_

(CONTINUED FROM PAGE 1)

### EXPENSES OF SOLICITATION OR ACTIVITY

Salaries, Wages, Commissions .....	\$	_____
Remuneration to promoters and/or managers .....	\$	_____
Remuneration to entertainers, participants, or artists .....	\$	_____
Commission to any organization authorizing appearance of artists and / or entertainers .....	\$	_____
Music or Sound Equipment .....	\$	_____
Rental of auditorium, hall, buildings, storeroom, grounds, or other space .....	\$	_____
Rental or purchase of equipment, costumes, or uniforms .....	\$	_____
Food purchases (for luncheon, dinner, banquet, barbecue, etc.) .....	\$	_____
Disbursed for reservation charges (such as per-plate costs, etc.) .....	\$	_____
Cost of refreshments, novelties, etc. for resale .....	\$	_____
Prizes, decorations, or favors .....	\$	_____
Printing, postage, or stationary .....	\$	_____
Publicity, advertising, telephone, radio or television time .....	\$	_____
Transportation .....	\$	_____
Other expenditures .....	\$	_____
(Itemized) _____	\$	_____
_____	\$	_____
_____	\$	_____
_____	\$	_____
_____	\$	_____
_____	\$	_____
_____	\$	_____
_____	\$	_____
_____	\$	_____

**TOTAL EXPENSES FOR SOLICITATION OR ACTIVITY** ..... \$ \_\_\_\_\_

**NET REMAINING FOR CHARITABLE PURPOSES** ..... \$ \_\_\_\_\_

### DISTRIBUTION OF FUNDS:

Furnish detailed information. If solicitation was in behalf of another organization or association, show its NAME, ADDRESS, and DATE funds were released and the AMOUNT:

_____	\$ <u>0</u>
_____	
_____	

**THIS REPORT MUST BE SIGNED BY AN OFFICER OF THE ORGANIZATION**

G. Thomas Waite III

(PRINT NAME)

X

(SIGNATURE OF OFFICER)

200 West 57th Street, Suite 705, New York, NY 10019

(ADDRESS, CITY, STATE & ZIP)

(DATE SUBMITTED)

Treasurer

(TITLE)

212-246-2096

(TELEPHONE)

**PLEASE PRINT NAME, THEN SIGN**

**The Fund for Animals, Inc.**  
200 West 57th Street, Suite 705  
New York, NY 10019  
212-246-2096  
FAX 301-458-7767

#### ORGANIZATION

The Fund for Animals, Inc. was incorporated in the State of New York on March 16, 1967 pursuant to the Membership Corporations Law.

The Fund for Animals, Inc. was granted an exemption from income tax under §501(c)(3) of the Internal Revenue Code in a letter dated May 27, 1968.

#### PURPOSE OF THE ORGANIZATION

The Fund for Animals, Inc. was formed to promote the alleviation of fear, the prevention of pain and the relief of suffering of animals everywhere and to foster humane conduct toward animals and encourage and support cooperation among all persons interested in humane activities.

#### GENERAL PURPOSE FOR WHICH CONTRIBUTIONS WILL BE USED

Contributions are used for animal protection, including operation of a ranch in Texas for abused animals and other animal protection and welfare facilities, and emergency rescue of animals. Funds are also used for humane education and for lobbying for humane animal litigation and against laws which subject animals to mistreatment.

**The Fund for Animals, Inc.**  
200 West 57th Street, Suite 705  
New York, NY 10019  
212-246-2096  
FAX 301-458-7767

**Officers, Directors, Trustees, and Key Employees**

Neil B. Fang	Board Treasurer/Director
Michael Markarian	President
Patrick McDonnell	Director
Judy Ney	Director
Wayne Pacelle	Vice President
Marian G. Probst	Chair
Sarah Redding	Secretary
G. Thomas Waite III	Treasurer
David O. Wiebers, MD	Director

**All officers can be contacted at the address listed above**

**The Fund for Animals, Inc.**  
200 West 57th Street, Suite 705  
New York, NY 10019  
212-246-2096  
FAX 301-458-7767

**Other States Registered:**

<b>State</b>	<b>Registration Number</b>
Alabama	AL00-137
Alaska	
Arkansas	
California	CT014735
Colorado	20023003230
Connecticut	CHR.0005106
District of Columbia	400216000002
Florida	CH10173
Georgia	CH001328
Hawaii	
Illinois	01-006,545
Kansas	279-087-1
Kentucky	2791
Louisiana	
Maine	CO2960
Maryland	3597
Massachusetts	013851
Michigan	MICS 13532
Minnesota	
Mississippi	100000825
New Hampshire	2376
New Jersey	CH-01877-00
New Mexico	
New York	01-20-94
North Carolina	SL000344
North Dakota	6774
Ohio	
Oklahoma	4300632006
Oregon	27939
Pennsylvania	2121
Rhode Island	CO.9700901
South Carolina	P7448
Tennessee	CO249
Utah	6535791-CHAR
Virginia	
Washington	1813
West Virginia	
Wisconsin	791-800

**The Fund for Animals, Inc.**  
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212-246-2096  
FAX 301-458-7767

## **PROFESSIONAL FUNDRAISING COUNSEL**

The Fund for Animals, Inc. has an agreement with a professional fundraising counsel to provide guidance in solicitation of charitable contributions to further its stated purpose. All funds are received directly by The Fund for Animals, Inc. Contributions are solicited through an ongoing direct mail program.

The Fund for Animals, Inc. has an agreement with the following fundraising counsel:

National Outdoor Sports Advertising, Inc.  
5151 Wisconsin Avenue, NW, Suite 400  
Washington, DC 20016  
202-965-9850

Agreement period: 1/27/2005-1/27/2015  
Agreement Not Renewed



**The Fund for Animals, Inc.**  
200 West 57th Street, Suite 705  
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212-246-2096  
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The Fund for Animals, Inc. has an agreement with the following fundraising counsel:

National Outdoor Sports Advertising, Inc.  
5151 Wisconsin Avenue, NW, Suite 400  
Washington, DC 20016  
202-965-9850

Agreement period: 10/15/2014-9/30/2015

**The Fund for Animals, Inc.**  
200 West 57th Street, Suite 705  
New York, NY 10019  
212-246-2096  
FAX 301-458-7767

**PROFESSIONAL SOLICITOR**

The Fund for Animals, Inc. has an agreement with a professional solicitor to solicit charitable contributions in order to further the organization's stated purpose.

The Fund for Animals, Inc. has an agreement with the following professional solicitor:

Donor Services Group, LLC  
6715 Sunset Boulevard  
Hollywood, CA 90028  
888-474-1900

Agreement period: 5/1/2011-4/30/2014

**The Fund for Animals, Inc.**  
200 West 57th Street, Suite 705  
New York, NY 10019  
212-246-2096  
FAX 301-458-7767

**PROFESSIONAL SOLICITOR**

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The Fund for Animals, Inc. has an agreement with the following professional solicitor:

Donor Services Group, LLC  
6715 Sunset Boulevard  
Hollywood, CA 90028  
888-474-1900

Agreement period: 5/1/2014-12/31/2015